



IFW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/727,951
	Filing Date	12/04/2003
	First Named Inventor	Stijn Gillissen et al.
	Art Unit	1761
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	3064.EEM

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles W. Almer National Starch and Chemical
Signature	
Date	5/24/04

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Anne Marie Pickel
Signature	
Date	5/24/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No. 3064.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stijn Gillissen et al.

Serial number: 10/727,951

Filing Date: 12/04/2003

Group Art unit: 1761

Title: UV CURABLE PROTECTIVE ENCAPSULANT

Examiner:

SUPPLEMENTAL PARAGRAPHS TO USPTO 1449  
INFORMATION DISCLOSURE STATEMENT

To Commissioner of Patents:

Sir: Applicant requests that the information disclosed on the accompanying PTO Form 1449 be considered by the Patent Office for the reasons checked here:

- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(1) within three months of the filing date. No fee is required.
- ☒ It is being filed pursuant to 37 CFR § 1.97(b)(3) or (4) before the mailing date of a first office action on the merits. No fee is required.
- ☐ It is being filed pursuant to 37 CFR § 1.97(c)(1), before the mailing date of a final action or before a notice of allowance accompanied with the below statement. No fee is required.

**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

- ☐ In the event that a first Office Action has already issued and is crossing in the mail with this information, it is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(d)(1) and (2), after the mailing date of a final action or after a notice of allowance, but before or simultaneously with the payment of the issue fee, and is accompanied with the below statement and the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.

**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

Respectfully submitted,

Charles W. Almer  
Reg. No. 36,731 - Attorney for Applicant  
Tel. 908-707-3738

National Starch and Chemical Company  
10 Finderne Avenue, Bridgewater, New Jersey 08807

#60155



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form PTO-1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number: 3064.EEM

Application  
No.:  
10/727,951

EXAMINER NAME:

First Named Inventor: GILLISSEN, Stijn

Sheet 1 of 3

Filing Date: 12/04/2003

Group Art: 1761

## U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		US- 3,896,014	07/22/75	Rosenberg			
		US- 4,073,835	02/14/78	Otsuki et al.			
		US- 4,203,792	05/20/80	Thompson			
		US- 4,349,605	09/14/82	Biggs et al.			
		US- 4,390,401	06/28/83	Costanza			
		US- 4,407,984	10/4/83	Ratcliffe et al.			
		US- 4,635,356	01/13/87	Ohuchi et al.			
		US- 4,843,036	06/27/89	Schmidt et al.			
		US- 4,880,662	11/14/89	Habrich et al.			
		US- 5,085,924	02/04/92	Eckberg			
		US- 5,180,757	01/19/93	Lucey			
		US- 6,034,441	03/07/00	Chen			
		US- 2002/0098626	07/25/02	Blalock			

## FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		CH665060	04/15/88	Dick			
		CH684528	10/14/94	Auer			
		DE10054873	05/29/02	Guenther			
		DE4017941	12/12/91	Maertin			
		DE4434382	3/21/96	Voges			

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#60126

Substitute for Form PTO-1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number: 3064.EEM

Application

No.:

10/727,951

EXAMINER NAME:

First Named Inventor: GILLISSEN, Stijn

Sheet 2 of 3

Filing Date: 12/04/2003

Group Art: 1761

## U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

## FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		DE4435120	04/04/96	Tilgner et al.			
		DE4434382	03/21/96	Voges			
		EP 0 372 556	12/07/89	Lutz			
		EP 0 474194	01/15/97	Juen			
		EP 0 518 142	10/23/96	Lutz et al.			

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#60133

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

[illegible]

#60140